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+

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
submitted  
with Initial  
Filing

☒ Declaration  
Submitted after Initial  
Filing (surcharge  
37 CFR 1.16 (e))  
required)

Attorney Dock t Number

PC10449A

First Nam d Inventor

Michael J. Banker

**COMPLETE IF KNOWN**

Application Number

09/672,323

Filing Date

September 28, 2000

Group Art Unit

To be assigned

Examiner Name

To be assigned

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Micro-Equilibrium Dialysis Vertically-Loaded Apparatus

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 09/28/2000 as United States Application Number or PCT International

Application Number 09/672,323 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B sheet attached hereto.
60/156,800	September 30, 1999	

EXPRESS MAIL NO.

EL 710830148US

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PTO/SB/01 (12/97)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## DECLARATION ---- Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 U.S.C. 1.56, which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application Number or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number  
or

Place Customer  
Number Bar Code  
Label here

☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
Peter C. Richardson	27,526	Lawrence C. Akers	28,587
Allen J. Spiegel	25,749	A. Dean Olson	31,185
Paul H. Ginsburg	28,718	Mervin E. Brokke	32,723
J. Trevor Lumb	28,567	Valerie M. Fedowich	33,688
James T. Jones	30,561	Bryan C. Zielinski	34,462
Gregg C. Benson	30,997	Robert T. Ronau	36,257
Robert F. Sheyka	31,304	B. Timothy Creagan	39,156
Grover F. Fuller Jr.	31,760	Alan L. Koller	37,371
Karen DeBenedictis	32,977	Jolene W. Appleman	35,428
Lorraine B. Ling	35,251	Kristina L. Konstas	37,864
Garth Butterfield	36,997	Seth H. Jacobs	32,140
Carl J. Goddard	39,203	Martha A. Gammill	31,820
Raymond M. Speer	26,810	Gregory P. Raymer	36,647
Jennifer A. Kispert	40,049	E. Victor Donahue	35,492
Israel Nissenbaum	27,582	Todd M. Crissey	37,807
Deborah A. Martin	44,222	Roy F. Waldron	42,208
A. David Joran	37,858	Adrian G. Looney	41,406
Elsa Djuardi	45,963	Jeffrey N. Myers	41,213
Gabriel L. Kleiman	40,681	Michelle A. Sherwood	36,271
Arlene K. Musser	37,895		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label OR ☒ Correspondence address below

Name	Gregg C. Benson				
Address	Pfizer Inc.				
Address	Patent Department, MS 4159, Eastern Point Road				
City	Groton	State	CT	Zip Code	06340
Country	United States Of America	Telephone	1-(860)-441-4901	Fax	1-(860)-441-5221

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

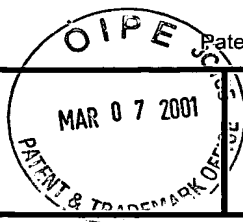
Given Name (first and middle [if any])		Family Name or Surname			
Michael J.		Banker			
Inventor's Signature	<i>Michael J. Banker</i>			Date	3/7/2001
Residence: City	Pawcatuck	State	CT	Country	06379
Post Office Address	69 Palmerneck Road				
Post Office Address					
City	Pawcatuck	State	CT	Zip	06379
				Country	USA

☒ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Timothy J.				Zuzel			
Inventor's Signature						Date	3-7-01
Residence: City	Norwich	State	CT	Country	06360	Citizenship	USA
Post Office Address							
7 Greenbriar Road							
Post Office Address							
City	Norwich	State	CT	Zip	06360	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
John A.				Williams			
Inventor's Signature						Date	3-1-2001
Residence: City	Gales Ferry	State	CT	Country	06335	Citizenship	USA
Post Office Address							
37 Ledgewood Drive							
Post Office Address							
City	Gales Ferry	State	CT	Zip	06335	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael J. Banker  
Timothy J. Zuzel  
John A. Williams

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: March 1, 2001 and March 7, 2001

2. Name and address of receiving party(ies):

Name: Pfizer Inc.  
Street Address: 235 East 42nd Street, 20th Floor  
City: New York State: New York Zip: 10017-5755

and

Name: Pfizer Products Inc.  
Internal Address: Bldg. 118S, 3<sup>rd</sup> Floor  
Street Address: Eastern Point Road  
City: Groton State: Connecticut Zip: 06340

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/672,323  
B. Patent No. (s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom  
correspondence concerning document should be  
mailed:

Name: Gregg C. Benson

Internal Address: Pfizer Inc.

Street Address: Eastern Point Road, MS 4159

City: Groton State: Connecticut ZIP: 06340

6. Total number of pages including cover sheet,  
attachments and document: 3

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

16-1445

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached document is the original or a true copy of the original document.*

Gregory P. Raymer

Name of Person Signing

  
Signature

3/7/01

Date

Total number of pages including cover sheet: 3

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ASSIGNMENT

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, we, Michael J. Banker, Timothy J. Zuzel and John A. Williams, of 69 Palmerneck Road, Pawcatuck, Connecticut 06379, 7 Greenbriar Road, Norwich, Connecticut 06360 and 37 Ledgewood Drive, Gales Ferry, Connecticut 06335, respectively, hereby sell, assign and transfer unto PFIZER INC., a corporation organized and existing under the laws of the State of Delaware, United States of America, and having its principal place of business at 235 East 42nd Street, New York, New York 10017, United States of America, our entire right, title and interest, except as limited hereinbelow, in and to non-provisional patent application of the United States of America, having PFIZER INC. Docket No. PC10449A, Serial No. 09/672,323 and entitled Micro-Equilibrium Dialysis Vertically-Loaded Apparatus; and our entire right, title and interest, in the United States of America, in and to all our inventions, whether joint or sole, disclosed in said non-provisional patent application; and our entire right, title and interest in and to all applications filed in the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted in the United States of America on the foregoing applications;

and we hereby sell, assign and transfer unto PFIZER PRODUCTS INC., a corporation organized and existing under the laws of the State of Connecticut, United States of America, and having its place of business at Eastern Point Road, Groton, Connecticut 06340, United States of America, our entire right, title and interest, in all countries of the world except the United States of America, in and to all our inventions, whether joint or sole, disclosed in said non-provisional patent application; and our entire right, title and interest in and to all patent applications filed outside the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted outside the United States of America on said patent applications filed outside the United States of America; and the right to claim priority from said non-provisional patent application under the Paris Convention for the Protection of Industrial Property, and under any and all other such treaties and agreements to which the United States of America is a party and which afford similar priority-claiming privileges, in all countries of the world except the United States of America;

and we hereby agree, whenever requested, to communicate to said PFIZER INC. and said PFIZER PRODUCTS INC., and their successors and assigns, any facts known to us respecting

said inventions, to testify in any legal proceeding respecting said inventions, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in all countries of the world.

Signed and witnessed this 7<sup>th</sup> day of March, 2001 at  
Groton, CT.

Michael J. Banker  
Michael J. Banker

In the presence of:

Deanna L. Miller  
Deanna L. Miller  
(Typed or Printed Name of Witness)

Signed and witnessed this 7<sup>th</sup> day of March, 2001 at  
Groton, CT.

Timothy J. Zuzel  
Timothy J. Zuzel

In the presence of:

Deanna L. Miller  
Deanna L. Miller  
(Typed or Printed Name of Witness)

Signed and witnessed this 1<sup>st</sup> day of March, 2001 at  
Groton, Connecticut.

John A. Williams  
John A. Williams

In the presence of:

Deanna L. Miller  
Deanna L. Miller  
(Typed or Printed Name of Witness)

pc10449